IAP7 Rec'd PCT/PTO 01 SEP 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 050096PCTUS

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (Iffiliation), See 17 (1) R. (.5)			
INTERNATIONAL APPLICATION N			NAL FILING DATE	PRIORITY DA		-	
PCT/US2005/07265 TITLE OF INVENTION	0	7 March 20	305	05 Ma	rch 2004		
ATOM TRANSFER RADICAL POLYMERIZATION PROCESS							
APPLICANT(S) FOR DO/EO/US K. Matyjaszewski,	L. Bomba	lski, W. 3	Jakubowski, K	. Min, J.	Spanswick	andON. Tsarevs	sky
Applicant herewith submits to the	e United State	s Designated/E	lected Office (DO/E	O/US) the follow	wing items and oth	ner information:	
1. This is a FIRST submission	on of items conce	erning a submiss	sion under 35 U.S.C. 37	1.			
2. This is a SECOND or SUE	3SEQUENT sub	mission of items	concerning a submission	on under 35 U.S.	C. 371.	1	
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. X The US has been elected	The US has been elected (Article 31).						
5. X A copy of the Internation	X A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a. is attached he	a. is attached hereto (required only if not communicated by the International Bureau).						
b. has been com	b. has been communicated by the International Bureau.						
c. X is not required	I, as the applicati	ion was filed in th	ne United States Receiv	ring Office (RO/U	JS).		
6. An English language tra	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
a. Lis attached he	ereto.						
b. has been prev	b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7. Amendments to the clai	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a. Lare attached	a. are attached hereto (required only if not communicated by the International Bureau).						
b. have been co	b. have been communicated by the International Bureau.						
c. have not bee	c. have not been made; however, the time limit for making such amendments has NOT expired.						
d. L have not bee	d. have not been made and will not be made.						
8. An English language tra	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. An oath or declaration of	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern	document(s) o	r information in	cluded:				
11. An Information Disclosu	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. An assignment docume	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amendme	A preliminary amendment.						
14. An Application Data She	An Application Data Sheet under 37 CFR 1.76.						
15. A substitute specification	A substitute specification.						
16. A power of attorney and	A power of attorney and/or change of address letter.						
17. A computer-readable for	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the Er	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP5 Rec'd PCT/PTO 01 SEP 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (iii/known, see 37 CF/M S) INTERNATIONAL APPLICATION NO. PCT/US2005/07265					ATTORNEY'S DOCKET NUMBER 050096PCTUS	
20. Other items or information:						
•	owing fees have b				S CALCULATIONS	PTO USE ONLY
21. 🔀 Basi	ic national fee (37	300.00				
22. 🔀 Exam	nination fee (37 Cl					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. 🛭 Sea	rch fee (37 CFR 1	492(b))				
If the written opir	nion of the ISA/US	or the Internation	nal preliminary examination rep	oort prepared by		
Search fee (37 C	i indicates all claim FR 1.445(a)(2)) h	is satisty provision as been paid on	ons of PCT Article 33(1)-(4) the international application to	the USPTO as an	\$100.00	
International Sea	onal Searching Au arch Report prepar	thorityed by an ISA oth	er than the US and provided to	\$100 the Office or		
previously	y communicated to	the US by the I	В	\$400		
All other situations\$500					2600.00	+
Additional fe	TOTAL OF 21, 22 e for specification	2 and 23 = and drawings file	ed in paper over 100 sheets (ex	cludina	\$600.00	
☐ sequenc	e listing in complia	ince with 37 CFF	R 1.821(c) or (e) or computer pr	ogram listing in an		
	electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets		Number of each additional 50 or fraction thereof (round up to a whole number)			
- 100 =	/50 =	× \$250		× \$250	\$	1
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$	
CLAIMS	AIMS NUMBER FILED		NUMBER EXTRA	RATE	\$	
Total claims	58	- 20 =	38	x \$50	\$ 1,900.00	
Independent clai	dependent claims 4 - 3 =		1	x \$200	\$ 200.00	
MULTIPLE DEP	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360		+ \$360	\$		
TOTAL OF ABOVE CALCULATIONS =					\$ 2,700.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
SUBTOTAL = \$ 1,350.00					<u> </u>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						
TOTAL NATIONAL FEE =			\$ 1,350.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$			
TOTAL FEES ENCLOSED =					\$ 1,350.00	
					Amount to be refunded:	\$
					Amount to be charged	\$

AP5 Rec'd PCT/PTO PTG 135 Re P.07 2000

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. X	A check in the amount of $\frac{1,350.00}{}$ to cover the above fees is enclosed.	
b. 🗆	Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.	
c. 🗴	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>11-1110</u> . A duplicate copy of this sheet is enclosed.	
d. 🗆	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.		
Bern Kirk Henr 535	ALL CORRESPONDENCE TO: ard G. Pike, Esq. patrick & Lockhart Nicholson Graham LLP y W. Oliver Building Smithfield Street sburgh, PA 15222-2312 REGISTRATION NUMBER	

IAP5 Rec'd PCT/PTO 01 SEP 2006

IN THE UNITED STATES RECEIVING OFFICE

Group Art Unit:	:	
Examiner:	· :	
In re application of Matyjaszewski et al.	Title: Atom Transfer Radical Polymerization Process	
U.S. Application Serial No.		
Filing Date: Concurrently Herewith	: :	
International Application No: PCT/US2005/07265	: Attorney Docket No. : 050096PCTUS	
International Filing Date: 7 March 2005	· :	
EXPRESS MA	AIL CERTIFICATE	
	Pittsburgh, Pennsylvania 15222 September 1, 2006	
Mail Stop: PCT Commissioner for Patents P.O. Box: 1450 Alexandria, VA 22313-1450	·	
"Express Mail" label numberEQ603942512US	S	
Date of Deposit September 1, 2006		
I hereby certify that the following attached	paper(s) or fee:	
 TRANSMITTAL LETTER TO THE UCONCERNING A FILING UNDER 3 CHECK PAYABLE TO PTO (For File 		
are being deposited with the United Postal service under 37 CFR 1.10 on the date indicated about Commissioner for Patents, P.O. Box: 1450, Alexandre Commissioner for Patents for Pate		
Patricia	I name of person mailing paper or fee) Q. Mackson mailing paper or fee)	